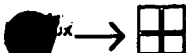


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		Application Number	09/730,034
		Filing Date	December 4, 2000
		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
Examiner Name	Unassigned	RECEIVED APR 16 2002 Technology Center 2100	
Attorney Docket Number	003801.P011		
Sheet 1	of 10		


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	Number	Name of Patentee or Applicant of Cited Document		
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	PCT	WO 92/15174 /	2/25/1992	Beaumont-Maxin International	9/3/1992	
	PCT	WO 96/34356 /	4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT	WO 97/37315 /	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS			
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).		Translation? Yes/No
MBK	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA		
J	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA		
	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA		
	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5		

Examiner Signature	M THOMPSON	Date Considered	10/14/04
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


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		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
Examiner Name	Unassigned		
Attorney Docket Number	003801.P011		
Sheet 2 of 10			

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	Number	Name of Patentee or Applicant of Cited Document		
KIDT	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
	5,168,446	Wiseman	12/1/1992	5/23/1989
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	France	2658635-A1 ✓	2.6.1991		
	Canada	2,253,543 ✓	3/19/1997		Yes

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		HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

Examiner Signature	M THOMPSON	Date Considered	10/14/02
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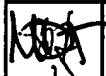

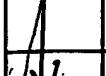
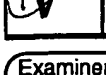
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### OTHER DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
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	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

Examiner Signature	<b>M THOMPSON</b>	Date Considered	<b>10/14/04</b>
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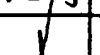

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		Application Number	09/730,034		
		Filing Date	December 4, 2000		
		First Named Inventor	Alex D. Poon		
		Group Art Unit	2152		
Examiner Name	Unassigned	<b>RECEIVED</b> <b>APR 16 2002</b> <b>Technology Center 2100</b>			
Attorney Docket Number	003801.P011				
Sheet	4	of	10		

U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
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OTHER DOCUMENTS			
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		"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

Examiner Signature	<b>M THOMPSON</b>	Date Considered	<b>10/14/04</b>
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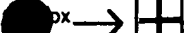
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
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Attorney Docket Number	003801.P011		
Sheet	6	of	10

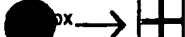

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Examiner Signature	M THOMPSON	Date Considered	10/14/04
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 8 of 10	Attorney Docket No.: 003801.P011	Application Number: 09/730,034
	First Named Inventor:	Alex D. Poon
	Filing Date:	December 4, 2000

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Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 10 of 10	Attorney Docket No.: 003801.P011	Application Number: 09/730,034
		First Named Inventor: Alex D. Poon
		Filing Date: December 4, 2000

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		6,104,815		Alcorn et al.	08-15-2000	
		6,202,051		Woolston	07-13-2001	
		6,266,651		Woolston	07-24-2001	

### OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>	
		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., <a href="http://www.businesswire.com">www.businesswire.com</a> .		
		BUSINESS EDITORS/COMPUTER WRITERS, "ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts With Week-Long Charity Auction For The Computer Museum in Boston," 5/24/95, 3 pgs., <a href="http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267">http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267</a>		
		MEADE, J., "Visual 360: A Performance Appraisal System That's 'Fun' (Evaluation)," HRMagazine, 44, 7, 2 pgs., July 1999, Society for Human Resource Management.		
		PREIST, C., et al., "Adaptive Agents in a Persistent Shout Double Auction," Proceedings, 1 <sup>st</sup> International Conf. on Information and Computation Economies, October 25-28, 1998, pgs. 11-18, Charleston, N.C.		
		RESNICK, P., et al., "Reputation Systems," December 2000, Assoc. for Computing Machinery, Communications of the ACM, vol. 43, n. 12, 4 pgs.		
Examiner Signature	M THOMPSON		Date Considered	10/14/04

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 9 of 10	Attorney Docket No.: 003801.P011	Application Number: 09/730,034
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		Number	Kind Code <sup>2</sup> (If known)			
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		5,803,500		Mossberg	09-08-1998	
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		6,085,176		Woolston	07-04-2000	

### OTHER ART - NO PATENT LITERATURE DOCUMENTS

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